**HJS: Report on holding rate of major shareholders**

On 12/11/2015, Nam Mu Hydropower Joint Stock Company reported the holding rate of major shareholders as follows:

**1. Investor:**

- Name: Bao Viet Life Corporation

- National: Vietnam

- Business registration certificate No. 46GP/KDBH

- Business lines: Life insurance service, financial investment

- Address: 37th floor, Keangnam Hanoi Landmark Tower, Pham Hung Street, Nam Tu Liem District, Hanoi

- Tel.: 043 9289 589

- Fax: 043 9289 590

**2. Related persons (Holding the same type of share)**

- Name: Bao Viet Fund Management Co., Ltd.

+ Business registration cerficate No. 05/UBCK-GPHDQLQ issued on 08/11/2015

+ Position at the Company:

+ Relation with investor: related person

- Name: Bao Viet Dynamic Securities Investment Fund

+ Business registration certificate No. 08/GCN-UBCK issued on 08/1/2014

+ Position at the Company

+ Relation with investor: related person

**3. Name of share/fund certificate/stock code:** HJS

**4. Account No.:**

**5. Number of shares/fund certificate holding before transaction**: 0

**6. Number of shares/fund certificate purchased:** 1,503,900 shares

7. **Number of shares/fund certificate holding after transaction:** 1,503,900 shares (10.03%)

**8. Transaction date:**  11/11/2015

**9. Number of shareholding and holding rate of relating person before transaction:**

- Bao Viet Fund Management Co., Ltd.: 44,400 shares (0.3%)

- Bao Viet Dynamic Securities Investment Fund: 286,700 shares (1.91%)

**10. Number of shareholding and holding rate of relating person after transaction:** 1,835,000 shares (12.23%)